International Symposium on World Ecological Design F. Ying et al. (Eds.) © 2024 The Authors. This article is published online with Open Access by IOS Press and distributed under the terms of the Creative Commons Attribution Non-Commercial License 4.0 (CC BY-NC 4.0). doi:10.3233/FAIA240126

Enhancing Sleep Quality Through Digital Olfaction: Exploring the Efficacy of Aroma Therapy in Sleep Improvement

Ziyu XU^a, Yuan GAO^a, and Fangtian YING^{a,b,1}

^a Faculty of Humanities and Arts, Macau University of Science and Technology, China ^b College of Computer Science and Technology, Zhejiang University, China

Abstract. This study investigates the efficacy of digital olfaction aroma therapy in enhancing sleep quality. It explores the impact of specific olfactory stimuli on sleep patterns, focusing on deep sleep, light sleep, REM sleep, wakefulness duration, and frequency of awakenings. The findings indicate a significant improvement in sleep quality, with increased deep sleep and light sleep durations and reduced REM sleep, wakefulness, and awakenings. The study highlights the potential of digital olfaction in sleep therapy, though it acknowledges limitations and the need for further research into its mechanisms, long-term effects, and individual variations.

Keywords. Digital Olfaction Technology, Aroma Therap, Sleep Quality, Sleep Patterns, Sleep Study

1. Introduction

Sleep disorders, including insomnia, are increasingly recognized for their strong links to various diseases such as coronary artery disease [1], diabetes, obesity [2], and mental health issues [3]. The emergence of COVID-19 has exacerbated insomnia, contributing to a global rise in anxiety and depression [4]. Research into the olfactory system's relationship with certain diseases, such as Parkinson's, uncovers intricate neural interplays between olfactory perception and emotional cognition [5]. This exploration is pivotal in understanding the reciprocal relationship between sleep and olfaction, providing a theoretical basis for using the olfactory system in sleep therapy, particularly through aroma therapy [6,7]. The olfactory system's close connection with the limbic system, which governs emotion and memory, plays a crucial role in sleep-dependent learning and memory [8]. Moreover, olfactory training shows promise in enhancing brain function and cognitive abilities [9]. Given these findings, the development of safe and effective interventions to improve sleep, such as aroma therapy utilizing digital olfaction technology, emerges as a promising new avenue for enhancing sleep quality.

Current interventions for insomnia primarily include cognitive-behavioral therapy (CBT), but its applicability is hampered by significant limitations [10]. Pharmacological treatments, while effective, are often marred by adverse effects and potential toxicities

¹ Corresponding Author. Fangtian YING, Faculty of Humanities and Arts, Macau University of Science and Technology, Avenida WaiLong, Taipa, 999078, Macau; 13067848819@163.com.

[11]. Alternative non-pharmacological methods like acupuncture, though safer, suffer from inconsistent effectiveness, individual variability, and time constraints, limiting their widespread adoption in the short term [12].

Against this backdrop, our study explores a natural, safe, and reliable nonpharmacological intervention for sleep improvement through the lens of digital olfaction. We aim to elucidate the potential mechanisms through which digital olfaction technology can enhance sleep quality. The safety and possible long-term limitations of this technology are also scrutinized. A focal point of our investigation is the bidirectional relationship between the olfactory system and sleep, which lays the groundwork for the feasibility and theoretical basis of aroma therapy as a treatment modality.

This paper commences with a comprehensive literature review on digital olfaction technology and its relation to sleep. It then delves into the research methodology, experimental design, and data collection processes implemented in our study. Subsequent sections detail the data analysis methods and present our findings. The paper concludes with a discussion on the significance of our study, its potential applications, and directions for future research.

2. Background

Digital olfaction represents the frontier of sensory technology, encapsulating the digitization, categorization, encoding, and replication of specific odors to emulate the brain's odor recognition processes. Research has demonstrated the direct influence of odors on both physiological and psychological states. Certain aromas, for instance, exhibit calming and anti-anxiety properties, fostering a relaxed state conducive to sleep [13]. Conversely, familiar odors can sometimes trigger negative emotions in dreams during rapid eye movement sleep [14]. This opens up the potential for using digital olfaction technology to intelligently and personally adjust dream content, thereby enhancing sleep quality. Our hypothesis posits that digital olfaction technology could positively affect sleep, although its exact mechanisms remain to be elucidated.

As a burgeoning sensory simulation technology, digital olfaction offers highly precise, customizable, and individualized olfactory experiences. This technology is capable of simulating diverse odor environments. For example, studies indicate that exposure to the scent of loved ones can increase average sleep efficiency by 2% and enhance subjective sleep quality [15]. The applications of digital olfaction are vast, spanning from sleep enhancement to emotional regulation, and cognitive improvement. Additionally, its integration into fields like virtual reality, gaming, and medical rehabilitation demonstrates its versatile application prospects.

3. Design of the System

This study adopts an experimental design to delve into the effects of digital olfaction technology on sleep quality. We utilized a randomized group experimental approach, dividing participants into two groups for a two-night experiment. On the first night, Group One experienced no olfactory stimuli, while Group Two encountered specific olfactory stimuli from digital olfaction technology. On the second night, these conditions were reversed. This design allows for a thorough investigation of the impact of these odors on sleep quality.

Participants in the experimental group were exposed to specific odors at various sleep stages, including pre-sleep, deep sleep, and REM sleep, based on prior research linking certain odors to positive emotional and sleep outcomes. The experiment took place in sleep laboratories with controlled environments to ensure data reliability and consistency.

Data collection was conducted at night to mimic typical sleeping conditions. Ethical standards were rigorously followed throughout participant recruitment, data collection, and analysis. Personal data was anonymized, and the study was overseen by an ethics committee to uphold legal and moral standards.

4. Validation

We recruited 20 healthy adults (aged 18-50) to ensure the study's representativeness. Participants were selected based on their absence of serious olfactory or sleep disorders, ensuring their capacity to perceive olfactory stimuli and reducing confounding effects. Following thorough screening, participants were randomly divided into two groups of ten, under strict informed consent protocols, with rigorous privacy protection measures in place.

4.1. Measures

Two sleep laboratories were prepared for this experiment. The first was an odor-free control environment, meticulously designed to eliminate external olfactory interference. The second was equipped with a device for emitting specific olfactory stimuli, chosen for their hypothesized positive effect on sleep quality.

Air purifiers were activated 24 hours prior to experimentation in both laboratories to ensure a contaminant-free environment for reliable results.

Sleep data were captured using smart bracelets, prioritizing participant comfort and unobtrusiveness. These devices recorded several key sleep metrics:

- Deep Sleep Duration: The most restorative sleep stage, crucial for physical recovery and memory consolidation.
- Light Sleep Duration: A transitional phase significant for its volume within the sleep cycle, serving as a bridge to deeper sleep stages.
- Rapid Eye Movement (REM) Duration: Characterized by increased brain activity and dreaming, this phase is vital for emotional processing and memory formation.
- Wake Time: Measures the total duration of wakefulness within the sleep cycle, indicative of sleep disruption.
- Number of Awakenings: Reflects sleep continuity and depth, with frequent awakenings suggesting disrupted sleep patterns.

These comprehensive metrics allowed us to thoroughly evaluate the influence of olfactory stimuli on the participants' sleep patterns, enhancing the scientific rigor and ethical integrity of our research.

4.2. Procedures

On the experiment night, participants entered the sleep laboratory simultaneously to ensure uniform environmental conditions for comparability. The lab was maintained at optimal temperature and humidity levels, with a focus on quietness and comfort to aid in quick sleep onset.

Participants were advised to avoid vigorous exercise on the day of the experiment to maintain a normal physiological state, as intense physical activity could influence heart rate and body temperature, potentially skewing sleep data.

Upon arrival, participants were assigned beds and fitted with sleek, comfortable wearable devices to monitor sleep patterns. These devices tracked various sleep metrics with minimal intrusion. Throughout the night, staff monitored participants from a separate room using specialized equipment, maintaining experiment integrity while ensuring participant safety and comfort.

Data on deep sleep duration, light sleep duration, REM duration, awakenings, and wake time were recorded, providing a comprehensive assessment of sleep and dream quality across different stages.

5. Results

The study's findings revealed notable changes in sleep quality among participants exposed to specific olfactory stimuli via digital olfaction technology. There was a marked increase in deep sleep duration by an average of 11.50%, suggesting enhanced sleep quality. Light sleep time also increased slightly by an average of 2.23%, indicating a more gradual transition into deeper sleep stages. Conversely, REM sleep duration decreased by an average of 11.54%, a change that warrants further investigation to understand its implications fully. These results underscore the potential efficacy of digital olfaction technology in improving sleep patterns.

Item Number	deep sleep time			light sleep time			REM		
	A	B	Amount of Increase	A	В	Amount of Increase	A	В	Amount of Increase
P1	168	187	11.31%	216	203	-6.02%	94	83	-11.70%
P2	87	95	9.20%	189	183	-3.17%	89	76	-14.61%
P3	80	78	-2.50%	223	231	3.59%	45	52	15.56%
P4	58	69	18.97%	269	237	-11.90%	58	48	-17.24%
Р5	123	130	5.69%	187	198	5.88%	78	68	-12.82%
P6	105	113	7.62%	193	201	4.15%	39	43	10.26%
P7	93	98	5.38%	203	193	-4.93%	92	88	-4.35%

Table 1. Results of the Sleeping time

P8	38	57	50.00%	183	195	6.56%	83	67	-19.28%
Р9	139	153	10.07%	169	178	5.33%	49	32	-34.69%
P10	78	89	14.10%	159	163	2.52%	90	56	-37.78%
P11	122	131	7.38%	190	203	6.84%	66	59	-10.61%
P12	89	93	4.49%	178	189	6.18%	103	92	-10.68%
P13	91	99	8.79%	239	253	5.86%	123	105	-14.63%
P14	153	142	-7.19%	210	227	8.10%	53	41	-22.64%
P15	190	203	6.84%	189	196	3.70%	45	42	-6.67%
P16	69	87	26.09%	159	173	8.81%	73	53	-27.40%
P17	51	63	23.53%	193	185	-4.15%	84	88	4.76%
P18	88	97	10.23%	167	182	8.98%	99	91	-8.08%
P19	119	131	10.08%	214	221	3.27%	56	62	10.71%
P20	71	78	9.86%	198	188	-5.05%	95	77	-18.95%
Mean	100.6	109.65	11.50%	196.4	199.95	2.23%	75.7	66.15	-11.54%
σ^2	1485	1470.2	1.32%	667.95	505.35	0.33%	513.51	402.23	1.91%

* A: Participants who were not disturbed by any odor stimulation during sleep onset. B: Participants who received specific odor stimuli released through digital olfaction technology.

The study's results are indicative of the impact of digital olfaction on sleep stages:

- Increased Deep Sleep Time: The notable rise in deep sleep duration, pivotal for physical and mental restoration, suggests that specific olfactory stimulation may aid in relaxation and stress reduction, promoting deeper sleep.
- Increased Light Sleep Time: The slight increase in light sleep duration could enhance sleep continuity and decrease nocturnal awakenings. This suggests that the olfactory stimuli may facilitate the transition into light sleep, thereby improving overall sleep quality.
- Decreased REM Sleep Time: A reduction in REM sleep, typically associated with dreaming and cognitive restoration, implies that certain olfactory stimuli may decrease arousal and cognitive activity, aiding in deeper sleep.

Furthermore, exposure to specific odors significantly reduced wakefulness duration (from 27.4 minutes to 17.25 minutes) and the frequency of awakenings (from 1.05 times to 0.6 times per night). These findings illuminate the potential of digital olfaction technology in enhancing sleep continuity and reducing wakefulness.

Item Number	Wakeful durati	ness on	Number of awakening		
	А	В	А	В	
P1	11	0		1	

0

 Table 2. Results of the wakefulness

P2		0	0	0	0
P3		84	34	3	1
P4		5	0	1	0
P5		0	0	0	0
P6		23	32	1	1
P7		46	15	2	1
P8		135	73	4	2
P9		0	0	0	0
P10		58	44	2	2
P11		0	15	0	1
P12		15	0	1	0
P13		0	0	0	0
P14		0	0	0	0
P15		0	0	0	0
P16		56	48	1	1
P17		35	53	2	2
P18		48	31	1	1
P19		0	0	0	0
P20		32	0	2	0
	Mean	27.4	17.25	1.05	0.6

* A: Participants who were not disturbed by any odor stimulation during sleep onset. B: Participants who received specific odor stimuli released through digital olfaction technology.

The observed reduction in wakefulness duration—from an average of 27.4 minutes to 17.25 minutes—marks a significant improvement in sleep quality. This decrease suggests that specific olfactory stimuli from digital olfaction technology may facilitate faster return to sleep after awakening, enhancing overall restfulness.

Equally important is the decline in the frequency of awakenings, from an average of 1.05 to 0.6 times per night. Fewer interruptions during sleep can lead to more continuous, restorative rest. These results indicate the technology's potential for enhancing sleep continuity by minimizing disturbances.

While these findings are promising, further research is required to understand the underlying mechanisms and to explore the long-term effects and individual responses to digital olfaction technology. These avenues are critical for advancing our comprehension of digital olfaction's role in sleep improvement, holding potential benefits for those with sleep disorders.

6. Reflection

This study's potential is balanced by acknowledging its limitations. Variability in participants' physical and psychological states across the two experiment nights might have introduced deviations in results. Factors such as fatigue or stress could influence responses to olfactory stimuli. Additionally, minor fluctuations in the experimental environment, like temperature or noise, could affect outcomes.

To address these concerns, future research will involve a larger participant pool and extend over more days to generate a more robust dataset. Standardizing the experimental environment and procedures will help control variables. We also plan to conduct experiments at different times to gauge time-related impacts on performance. These steps aim to minimize participant state fluctuations and bolster the experiment's accuracy and reliability.

7. Conclusion

This research has significantly advanced our understanding of digital olfaction aroma therapy's potential to enhance sleep quality. The findings underscore that by carefully simulating specific olfactory stimuli, this therapy can positively influence emotional and psychological states, offering a promising new avenue for addressing sleep-related issues such as insomnia. The implications of this are substantial, opening up new pathways in the realm of digital olfaction technology application.

Nevertheless, the field of digital olfaction aroma therapy is still emerging, and our study is but a preliminary step in its exploration. Future research should aim to dissect the therapy's complex mechanisms more intricately. This includes a more granular analysis of various olfactory components and their intensities, which could lead to more effective and tailored therapeutic strategies. Moreover, a longitudinal perspective on the long-term effects and safety of this therapy is critical. Such research would not only enrich our understanding but also establish a more robust foundation for the clinical application of digital olfaction aroma therapy.

In conclusion, our study highlights the transformative potential of digital olfaction in improving sleep quality, marking a significant contribution to both sleep science and technology. However, the journey of discovery is far from over, and continued research in this area is essential for fully realizing its potential.

References

- Dey, Subo, Eric Sun, William H. Frishman, and Wilbert S. Aronow. "Sleep Disorders and Coronary Artery Disease." *Cardiology in Review* 31, no. 4 (2022): 219–24.
- [2] Kurnool, Soumya, Karen C. McCowen, Nicole A. Bernstein, and Atul Malhotra. "Sleep Apnea, Obesity, and Diabetes — an Intertwined Trio." *Current Diabetes Reports* 23, no. 7 (2023): 165–71.
- [3] Uccella, Sara, Ramona Cordani, Federico Salfi, Maurizio Gorgoni, Serena Scarpelli, Angelo Gemignani, Pierre Alexis Geoffroy, et al. "Sleep Deprivation and Insomnia in Adolescence: Implications for Mental Health." *Brain Sciences* 13, no. 4 (2023): 569.
- [4] Liu, Fei-Xiang, Zi-Bei Dong, Min Zhao, Ya-Hui Gao, Xiang Li, Ya-Nan Jiang, Yi-Ming Li, et al. "Covid-19–Related Insomnia Populations Research and Management Strategies: A Review." *Infectious Microbes and Diseases* 5, no. 3 (2023): 120–26.

- [5] Lauretani, Fulvio, Crescenzo Testa, Marco Salvi, Irene Zucchini, Francesco Giallauria, and Marcello Maggio. "Clinical Evaluation of Sleep Disorders in Parkinson's Disease." *Brain Sciences* 13, no. 4 (2023): 609.
- [6] Gaeta, Giuliano, and Donald A. Wilson. "Reciprocal Relationships between Sleep and Smell." Frontiers in Neural Circuits 16 (2022).
- [7] Woo, Cynthia C., Blake Miranda, Mithra Sathishkumar, Farideh Dehkordi-Vakil, Michael A. Yassa, and Michael Leon. "Overnight Olfactory Enrichment Using an Odorant Diffuser Improves Memory and Modifies the Uncinate Fasciculus in Older Adults." *Frontiers in Neuroscience* 17 (2023).
- [8] Shanahan, Laura K., and Jay A. Gottfried. "Olfactory Insights into Sleep-Dependent Learning and Memory." *Progress in Brain Research*, 2014, 309–43. https://doi.org/10.1016/b978-0-444-63350-7.00012-7.
- [9] Vance, David E., Victor A. Del Bene, Vidyulata Kamath, Jennifer Sandson Frank, Rebecca Billings, Do-Yeon Cho, Jun Y. Byun, et al. "Does Olfactory Training Improve Brain Function and Cognition? A Systematic Review." *Neuropsychology Review*, 2023.
- [10] Riemann, Dieter, Fee Benz, Raphael J. Dressle, Colin A. Espie, Anna F. Johann, Tessa F. Blanken, Jeanne Leerssen, et al. "Insomnia Disorder: State of the Science and Challenges for the Future." *Journal* of Sleep Research 31, no. 4 (2022).
- [11] Porwal, Amit, Yogesh Chand Yadav, Kamla Pathak, and Ramakant Yadav. "An Update on Assessment, Therapeutic Management, and Patents on Insomnia." *BioMed Research International* 2021 (2021): 1– 19.
- [12] Sun, Aiwen, and Xia Wu. "Efficacy of Non-Pharmacological Interventions on Improving Sleep Quality in Depressed Patients: A Systematic Review and Network Meta-Analysis." *Journal of Psychosomatic Research* 172 (2023): 111435.
- [13] Spahn, Lukas, Lisa Rosenblum, Thomas Penzel, Katharina Lederer, Matthew Salanitro, and Ingo Fietze. "The Influence of Scent on Sleep Quality." Journal of Biomedical Research & Compensational Sciences 3, no. 10 (2022): 1146–51.
- [14] Okabe, Satomi, Mitsuo Hayashi, Takashi Abe, and Kazuhiko Fukuda. "Presentation of Familiar Odor Induces Negative Dream Emotions during Rapid Eye Movement (REM) Sleep in Healthy Adolescents." *Sleep Medicine* 66 (2020): 227–32.
- [15] Hofer, Marlise K., and Frances S. Chen. "The Scent of a Good Night's Sleep: Olfactory Cues of a Romantic Partner Improve Sleep Efficiency." *Psychological Science* 31, no. 4 (2020): 449–59. https://doi.org/10.1177/0956797620905615.