Routing for Continuous Monitoring by Multiple Micro UAVs in Disaster Scenarios

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Abstract. In disaster situation a quickly obtained and regularly updated overview image of an area provides essential information for the rescue mission planning. Such an overview image can be composed from the individual pictures taken by a fleet of Unmanned Aerial Vehicles (UAVs). However, currently drones are remotely controlled by humans during such missions. To the best of our knowledge, no research has been conducted on the problem of UAV routing for such task. Therefore, we propose a method based on the wellknown metaheuristic Variable Neighborhood Search. In particular, we developed two new heuristics to construct the initial solution and an additional neighborhood operator. Computational experiments indicate that solutions obtained by our metaheuristic do not exceed the optimum by more than 26.9% on small scenarios. For the large instances with hundreds of points (where no optimal solution is known) the proposed method constructs feasible solutions in less than one second.

1 INTRODUCTION

Unmanned aerial vehicles (UAVs) are gaining popularity in various areas such as disaster management [13], agricultural surveillance [7], urban terrain surveillance [4], military operations [14], construction site monitoring, etc. In particular, the employment of micro UAVs, i.e. drones that can be carried by a human, achieved high attention in research and applications because of their simple handling and low costs.

The goal of our project is to develop a system employing a team of micro UAVs for aerial sensing in disaster situations. A fast first overview image of a target area is very useful for first responders who need to know the current situation. In order to track possible changes of the situation, an overview image should be regularly updated during the whole rescue operation. In this paper we consider the problem of UAVs routing for obtaining these overview images.

The use of micro UAVs in a rescue scenario has several restrictions that have to be taken into account. The energy storage (e.g. a battery) of the UAVs has limited capacity (approximately 10 - 45 minutes flight time depending on environmental conditions). Additionally, there may be a single or multiple base stations with loaded spare batteries where the UAVs depart from, return to and can change their energy storage. Due to legal restrictions, drones cannot fly above a certain altitude. Finally, micro UAVs have a limited payload.

Due to the reasons mentioned above, drones cannot fly high enough or use sophisticated cameras with wide-angle lenses to take an overview picture of the whole area with one shot. Therefore, an overview image of an area is generated by stitching a set of individual images of parts of this area [13]. We will refer to the locations where these pictures have to be taken as picture points. In our application scenarios we have to deal with several hundred such points.

Despite the fact that during the last decade many research projects were dedicated to UAV route planning, to the best of our knowledge, all of the related problems differ significantly from the described specification. One related problem, Multi-Depot Multiple UAV Routing Problem [12], does not consider multiple trips by a single vehicle. Problems such as Patrolling Task [9] and Multi-Depot Multi-trip Vehicle Routing Problem [3] do not take into account limitation on battery capacity. In addition, mentioned UAV and Vehicle Routing Problems require exactly one visit at every target point. Since none of the related problems consider all the requirements we have, the existing algorithms cannot be directly applied here.

Due to the problem complexity, complete methods (methods that always find the optimal solution) exploited by mixed-integer programming, constraint-based programming or logic programming are not applicable for the real-life scenarios that contain several hundred picture points. To underline this assertion we provide run times for employing constraint-based programming to our problem specification. As a consequence, only a heuristic approach, that does not guarantee the optimum but is sufficiently efficient, should be used for this new type of problem.

In this paper we propose two different construction heuristics to obtain an initial feasible solution and a metaheuristic approach to improve the initial solution iteratively. The construction heuristics exploit the basic ideas of the Solomon's insertion heuristic [15] and Clarke and Wright savings algorithm [2]. The metaheuristic is based on the well-known Variable Neighborhood Search (VNS) introduced by Mladenovic et al. [10] which was successfully applied to a number of vehicle routing problems, e.g. the Periodic Vehicle Routing Problem [6]. Computational results show that a solution obtained within ten seconds by our method is at most 26.9% far away from the optimum. Additionally, feasible solutions were obtained in less than one second for the problem instances with over 400 points.

This paper is organized as follows. A problem description is given in Section 2. Sections 3–6 contain detailed explanations of the developed algorithms. Section 7 presents computational results. Finally, in Section 8 we summarize the achievements and provide ideas for the future research.

2 PROBLEM SPECIFICATION

Given a set of *waypoints* W partitioned in a set of *picture points* $P = \{p_1, ..., p_m\}$ and a set of *base stations* B and a matrix D of distances between waypoints. This matrix is symmetric, i.e. $d_{ij} = d_{ji}$. Additionally, between all triples of waypoints the triangular inequal-

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ity has to be satisfied, i.e. for any three waypoints i, j, k it holds that $d_{ij} + d_{jk} \ge d_{ik}$.

A set of energy storage units E (also known as batteries) and a fleet of UAVs $V = [v_1, ..., v_n]$ of possibly different types T are initially located at various base stations. Every drone of a particular type has a certain speed and a limited maximum time of flight with one battery. Both of these parameters can be different for different types of UAVs. Additionally, time intervals needed to take one picture t_{TP} and to change battery t_{ChB} are known. Finally, time of the whole mission is restricted to a given value mT.

A solution is a set of routes $R = \{r_1, ..., r_n\}$ for all available UAVs. A route r_i is a sequence of waypoints $[w_{i,1}, ..., w_{i,last_i}]$, for all available UAVs. A solution is feasible if it satisfies the following constraints:

- 1. A drone v should start and finish its route at the base station b_v where it was initially placed, i.e. $w_{v,1} = w_{v,last_v} = b_v$.
- 2. A drone v may change its battery only at its initial base station b_v . As consequence, its route can contain only picture points or an initial base station, i.e. $w_{v,1}, ..., w_{v,last_v} \in P \cup b_v$.
- 3. A vehicle cannot fly with one battery longer than its maximum flight time t_{max} .
- 4. The time of the whole mission should not exceed the limit mT.
- 5. Every picture point is visited at least once.
- At every base station the number of battery changes should not exceed the number of spare batteries of the correspondent type.
- 7. The number of routes is equal to the number of drones.

As it was mentioned in the introduction, our problem consists of two tasks. The first task is to obtain the first overview image of an area as quickly as possible. The second task is regularly repeated visits of picture points which we call continuous monitoring. Therefore, the objective is split in two parts with different priorities:

- **Top priority** Within the first coverage, the objective is to minimize the last arrival time at picture point.
- Second priority For the continuous monitoring, a goal function aims at maximizing number of visits and making the frequency of these visits at all picture points more equal. It is calculated as follows:

$$\sum_{p \in P} \sum_{visit \in Visits_p} \Delta t_{visit}^2 \to min,$$

where $Visits_p$ is a set of all visits at picture point p; Δt_{visit} is a time interval from the current visit till the next visit (or till the mission time mT in case of the last visit).

3 VARIABLE NEIGHBORHOOD SEARCH

As any metaheuristic, VNS starts with an initial solution obtained by using a construction heuristic and iteratively improves the found solution. A a set of operators N_k ($k = 1, ..., k_{max}$) can be applied to the current state (current solution) resulting in a set of successor states. The set of successor states generated by applying an operator N_i is called a neighborhood. From such a neighborhood a state is randomly selected and improved by local search techniques. If no improvement can be found, the neighborhood is switched to the next one, i.e. another operator is applied. An acceptance phase evaluates whether the current solution is accepted or not. In short, the basic VNS steps are as follows:

 Obtain an *initial solution x_i* by exploiting a heuristic which can generate a feasible solution. Select a set of operators with cardinality k_{max} which will be applied to modify a solution. Choose a stopping condition (e.g. a limit on computational time or on the number of iterations).

- Set current solution *x* ← *x_i*. Repeat the following steps until the stopping condition is met:
 - (a) Set index of operator $k \leftarrow 1$;
- (b) Repeat the steps below until $k = k_{max}$:
 - i. *Shaking*. Generate a new solution x' by applying kth operator to a current solution;
 - ii. Local search. Apply some local search method to x' which outputs x'' as a local optimum;
 - iii. Acceptance phase. If the local optimum x'' is better than solution x or some acceptance criterion is met, set $x \leftarrow x''$ and continue to search starting with the first operator $(k \leftarrow 1)$; otherwise, set $k \leftarrow k + 1$.

In the following subsections these steps will be described in more detail for the tasks of obtaining the first coverage and performing continuous monitoring.

4 INITIAL SOLUTION

4.1 Task of Obtaining the First Coverage

To obtain a set of routes for the first coverage we used slightly modified standard approaches such as the parallel Clarke and Wright savings algorithm [2] and clustering in combination with the Christofides algorithm [1]. For clustering a hybrid method was created based on the k-means [8] and the k-medoids clustering [11] algorithms. In this method, the centre of a cluster is the picture point the closest to the Euclidian centre. The obtained solution is an input for the planning problem of continuous monitoring.

4.2 Task of Continuous Monitoring

Whereas for the previous task we can use existing approaches, to the best of our knowledge, no method exists for the problem of continuous monitoring. However, successful heuristics introduced for related problems are first-choice candidates for the adaptation to this task. In particular, we focused on two different approaches; the Clarke and Wright saving strategy and the Solomon's insertion heuristic. Their adaptations are presented in this section and evaluated in Section 7.

Modified Clarke and Wright savings algorithm (CW) This savings algorithm was originally developed for the Travelling Salesman Problem (TSP) [2]. It starts from the infeasible solution that consists of routes of type $b - p_i - b$ for all target points (picture points) p_i , $i \in [1,m]$, and a single given depot (base station) b, $B = \{b\}$. Then all possible pairs of points p_i and p_j ($i, j \in [1,m]$; $i \neq j$) are sorted in descending order by the savings value $s(p_i, p_j)$ calculated as: $s(p_i, p_j) = d_{p_ib} + d_{bp_j} - d_{p_ip_j}$. Connections are established iteratively between points with highest saving values, where such a connection does not violate the TSP constraints.

Since the problem of continuous monitoring differs significantly from the TSP, the savings algorithm was modified to deal with the extensions shown in Table 1. The resulting CW Algorithm is described in Algorithm 1.

Two routes ri and rj are joined by connecting the "savings" points pi and pj. Therefore, two last conditions in the line 12 in Algorithm 1 define that for the successful connection these points should be at the beginning or in the end of these routes.

Table 1. Modifications applied to the original savings algorithm

Extensions	Modifications			
Multiple base sta-	Savings values are calculated for every pair of			
tions	picture points and a base station closest to these			
	points.			
Multiple vehicles	During the computational process a drone is as-			
with possibly mul-	signed to a route. Routes with two assigned UAVs			
tiple trips	from different base stations cannot be connected.			
Several visits at	The modified algorithm is applied to the same set			
every picture point	of picture points, as long as there are spare batter-			
	ies.			

The function *connect*(ri,rj) returns true if two routes ri and rj were successfully connected into route $ri \cup rj$ and return false otherwise. They are connected if they do not start at different base stations and the resulting route will not violate battery capacity limitation.

The function *assignUAV*($ri \cup rj$) assigns a UAV and its initial base station to the route $ri \cup rj$. If any UAV $v \in V$ was assigned with either ri or rj then select v. If both ri and rj had no assigned vehicle then such drone is chosen which has a spare battery, can start following the route $ri \cup rj$ earlier than others and is close. On choosing a UAV the available batteries E are decremented.

Finally, function $join(R, R_c)$ inserts points from routes $r_c \in R_c$ to routes $r \in R$ of the correspondent UAVs.



points one after another at more profitable positions in the best routes chosen by an evaluation value. Since our problem deviates in several aspects from [15], such as no time windows but multiple coverage, a new insertion heuristic has to define a more suitable evaluation value and an order in which picture points will be inserted.

A good solution for the continuous monitoring problem is a solution where frequencies of visits at points are close to equal. Consequently, our algorithm should eliminate a situation where some points have significantly more visits than others and first insert those points which were visited long time ago. Therefore, all picture points are ordered in a queue $Q = \{q_1, ..., q_m\}$ in ascending order by their first arrival time. In every insertion iteration we take the first point of the queue Q.

Our new evaluation value must choose a route (1) which ends with a picture point located as close as possible to the insertion point q_1 and (2) whose vehicle can arrive at the insertion point earlier. The first parameter aims at optimizing the distance travelled by every vehicle whereas the second parameter tries to shorten the time between visits at the insertion point. Thus, this evaluation value for the route $r = \{w_1, ..., w_{last_r}\}$ is calculated as follows:

$$f(q_1, r) = \alpha_1 \cdot d_{w_{last_r}, q_1} \cdot scale + \alpha_2 \cdot t_{w_{last_r}, q_1}$$

Variable t_{w_{lastr},q_1} is a possible arrival time to a point q_1 if it is inserted in the route *r*. The *scale* coefficient is set to a value so that the orders of magnitude of arrival time and distance between points are the same. The weights α_1 and $\alpha_2 = 1 - \alpha_1$ reflect how much every parameter (distance and arrival time) influences the final decision. Value $\alpha_1 = 0.7$ showed the best results for our problem.

All steps of the queue-based insertion heuristic are shown in Algorithm 2. $E_{b,r}$ refers to batteries suitable for the vehicle associated to route *r* and located at base station *b*.

Algorithm 2: Queue-based insertion heuristic				
input : problem specification and a set of routes				
$R_1 = \{r_{11}, \dots, r_{1n}\}$ for the first coverage, where <i>n</i> is a				
number of vehicles				
output: a set of routes R for both tasks: first coverage and				
continuous monitoring				
1 set $R \leftarrow R_1$;				
2 set a queue $Q \leftarrow [q_1,, q_m]$ s.t.				
$t_{q_i} \le t_{q_{i+1}}, q_i, q_{i+1} \in P, i \in [1, m-1];$				
3 repeat				
4 set a chosen route $r \leftarrow arg \min_{ri \in R} f(q_1, ri);$				
5 if $f(q_1,r) = \infty$ then				
$6 \operatorname{set} Q \leftarrow Q \setminus \{q_1\};$				
7 else				
8 if $r \cup \{q_1\}$ does not violate energy constraint then				
9 set $r \leftarrow r \cup q_1$;				
10 else				
11 set $r \leftarrow r \cup b \cup q_1$, where $b \in B; E_{b,r} \neq \emptyset$;				
12 end				
$ 13 set Q \leftarrow \{q_2, \dots, q_m, q_1\};$				
14 end				
15 until $Q = \emptyset$;				

Queue-based insertion heuristic (QI) This heuristic is based on Solomon's insertion heuristic [15] which was first introduced for the Vehicle Routing Problem with Time Windows. The algorithm inserts

5 SHAKING PHASE AND LOCAL SEARCH

The purpose of the shaking step (see Section 3, Step 2(b)i) is to modify the current solution with retaining those parts contained in an optimal solution in order to give way for improvements and escape from the local optimum. After the shaking step, changed routes are optimized by local search (see Section 3, Step 2(b)ii). In the following we describe these steps for the first coverage and continuous monitoring.

5.1 Task of Obtaining the First Coverage

Cross-exchange and *move* operators are the most widely used interroute operators [6]. The cross-exchange operator exchanges two equally long segments of two routes. For instance, in Figure 1 segments $\{x_1, ..., y_1\}$ and $\{x_2, ..., y_2\}$ are removed from their routes r_1, r_2 and are inserted in the other routes r_2, r_1 . The move operator recates a segment of one route to another. Segment $\{x_1, ..., y_1\}$ is removed from its current route and is added to another route as shown in Figure 2. Both operators choose routes, segments and their length randomly. The segment length can take any values up to the maximum segment length shown in Table 2 for every applied operator. Variable *n* is the shortest length of the two chosen routes; *k* is the sequential number of an operator (see Section 3).



Figure 1. A cross-exchange operator for routes r_1 (black) and r_2 (grey)



Figure 2. A move operator for routes r_1 (black) and r_2 (grey)

For the local search phase we apply the commonly used 2-opt and 3-opt strategies that are special cases of the general k-opt [5] algorithm. This algorithm reconnects every combination of k arcs in the route in all possible ways so that the result is a solution to a TSP. If a new route has a better cost value it is accepted. This procedure is applied as long as no more improvements can be achieved. The obtained solution is called k-optimal.

The computational time increases exponentially as the value of k increments. However, benefits from using larger values of k usually do not increase significantly. Therefore, it is common practice to apply only 2-opt and 3-opt.

5.2 Task of Continuous Monitoring

Monitoring is more efficient if picture points are visited more often. Therefore, in addition to the cross-exchange and move operators we

 Table 2.
 Neighborhood operators for the first coverage and continuous monitoring problems

First coverage		Continuous monitoring				
k	Operator	Max. segment	k	Operator	Max. segment	
		length			length	
1	cross	$\min(1, n)$	1	move	$\min(1, n)$	
2	cross	min(2, n)	2	move	$\min(2, n)$	
3	cross	min(3, n)	3	move	min(3, n)	
4	cross	min(4, n)	4	cross	$\min(1, n)$	
5	cross	min(5, n)	5	cross	$\min(2, n)$	
6	cross	min(6, n)	6	cross	$\min(3, n)$	
7	move	$\min(1, n)$	7	cross	$\min(4, n)$	
8	move	min(2, n)	8	cross	$\min(5, n)$	
9	move	min(3, n)	9	cross	min(6, n)	
1						
					Max. number	
					of points	
			10	insert	min(1, n)	
			11	insert	min(2, n)	
			12	insert	min(3, n)	

introduce an insert operator which inserts points at random positions into a randomly chosen route. Table 2 shows the maximum possible number of insertion points for this operator. The chosen order was determined by our experiments described in Section 7.

As in the previous task, 2-opt and 3-opt are used as local search strategies. Their changes are accepted if the flight time of a route decreases since this allows additional revisits of picture points and reduces the goal function of continuous monitoring.

6 ACCEPTANCE PHASE

The purpose of the acceptance phase is to determine whether the current solution should be chosen. Various strategies can be exploited (e.g. simulated annealing) where a non-improved solution is accepted with a certain probability, which decreases over time. Simulated annealing did not give reasonable improvements for our real-life scenarios. Therefore, we use a strategy where only improved solutions are accepted.

7 COMPUTATIONAL RESULTS

In order to find routes for the first coverage of an area, existing algorithms were used. Therefore, this task is not considered in this section.

Conversely, methods developed for the problem of continuous monitoring should be evaluated in a number of aspects. First of all, the deviation from the optimum is estimated, since the presented methods are not complete and do not necessarily find optimal solution. However, due to complexity this estimation cannot be performed on real-world scenarios. Therefore, the proposed QI and CW heuristics are compared with each other on the large scenarios. Finally, additional tests are conducted on estimation of the best neighborhood structure.

We ran all the tests on an Intel Core i7 2.67 GHz system with 4GB RAM. Results of all mentioned tests will be presented below.

Comparison of the VNS, QI and CW heuristics with optimum on small-scale scenarios For this test we generated 9 benchmarks with 6 picture points and 2 base stations. Locations of points were chosen randomly so that the first coverage is feasible. Every base station stores 1 drone and 2 - 4 batteries. Mission time is limited by the longest possible mission of a drone. In addition, routes from the first coverage obtained by the standard Clarke and Wright algorithm are given for all cases since we compare methods for continuous monitoring.

In order to compute the optimum, we developed a model employing the constraint modelling language MiniZinc and solved the problem instances with the constraint-based programming solver Gecode.

Figure 3 presents the performance of the VNS with and without the improvement phase where initial solutions were found with either the QI or CW heuristics. The improvement phase was stopped after 10^4 iterations (less than 1 millisecond) for every benchmark. In contrast, finding the optimal solutions took 4832.72 seconds on the average.



Figure 3. Deviation from the optimum of QI and CW heuristics and their combinations with VNS

According to the results, solutions returned by QI and CW do not exceed the optimum by more than 26.9% and 50.3% respectively. Moreover, the solutions obtained by a combination of our VNS modification and QI or CW are at most 26.9% and 39.07% off from the optimum. It is important to mention, that the QI heuristic outperformed CW on most instances.

Evaluation of QI and CW heuristics on real-life scenarios A set of 36 benchmarks representing real areas of interest were used for this evaluation. Every benchmark contains 46 - 442 picture points and 3 or 6 base stations. Since the whole area has to be covered evenly, picture points are equally distributed over the area excluding obstacle areas. Additionally, a fleet of 3, 6 or 9 UAVs and a set of 3 - 22 batteries are equally distributed between the base stations. Parameters such as drone's velocity and maximum flight duration are set to the real values of the drones used in the project.

Figure 4 shows an instance with the name "442p_1" from our test set . It represents an area around our university and neighbouring buildings and contains 442 picture points marked with small circles and 3 base stations displayed as large filled circles. Areas with no picture points are no-fly zones due to the presence of the obstacles.

Figure 5 shows the results obtained by both CW and QI algorithms. According to these results, on most of the smaller scenarios (less than 251 picture points) QI heuristic achieved better results than CW regardless of the number of vehicles, batteries or base stations used. However, CW algorithm outperformed QI on larger instances.

QI and CW heuristics found the feasible solutions for all the instances in 355 and 1323 milliseconds respectively. Due to the short computational time, these heuristics are applicable in our domain which requires a fast response.

In conclusion, we suggest to use QI for the problems with relatively small number of picture points (≤ 251) and CW for all the other instances.



Figure 4. An example of the real-world scenario

Evaluation of the neighborhood structure Every neighbourhood operator influences the solution differently and, as consequence, a correct order of the operators is significant for achieving improvements. Therefore, we evaluated various combinations of crossexchange (c), move (m) and insert (i) operators and estimated contribution of the best combination to the final solution. In particular, we considered four possible orders: m-c-i, c-m-i, i-m-c and c-i-m. Table 3 contains the number of times when a particular operator led to a better solution, the average percentage of improvement and the final percentage of improvement for 10⁴ iterations. Sequence mc-i achieved the best average and final improvement values. Since the move and cross-exchange operators make routes shorter, there is more space for inserting new points than in any other combination. Consequently, this sequence decreases goal function the most due to a larger number of visited picture points. These results prove that sequence m-c-i is reasonable and the new insert operator is efficient.

Table 3. Evaluation of different sequencies of neighborhood operators

k	m-c-i	c-m-i	i-m-c	c-i-m
1	m 4	c 3	i 50	c 3
2	m 5	c 1	i 5	c 4
3	m 3	c 2	i 4	c 1
4	c 3	c 2	m 3	c 3
5	c 4	c 2	m 2	c 2
6	c 3	c 2	m 0	c 4
7	c 3	m 3	c 1	i 52
8	c 2	m 4	c 1	i 8
9	c 2	m 4	c 0	i 2
10	i 69	i 56	c 2	m 4
11	i 9	i 5	c 1	m 2
12	i 2	i 3	c 2	m 3
Average percentage	0.00121	0.00111	0.00114	0.000999
of improvement				
Final percentage of	12.11268	11.13094	11.41604	9.989225
improvement				



Figure 5. Comparison of QI and CW heuristics on real-life scenarios

8 CONCLUSIONS

In this paper, we proposed a new method based on the standard metaheuristic *Variable Neighborhood Search*. We developed a new neighborhood operator, i.e. the insert operator, and two new construction heuristics, i.e. the modified Clarke and Wright algorithm (CW) and the queue-based insertion heuristic (QI).

The heuristics CW and QI were compared with each other on 36 real-life problem instances which were solved in less than 2 seconds by each algorithm. The CW algorithm outperformed QI on large instances (with more than 251 picture points) whereas QI provided better solutions for small-scale problems.

Since our method is not an exact method, it was evaluated regarding its deviation from the optimum where the computation of the optimum was feasible. For these test cases our metaheuristic obtained solutions at most 26.9% worse than the optimum.

Finally, several neighborhood structures with a new insert operator were analyzed for choosing the best order. An order with the best final improvements (12.11% on large scenarios) was selected. Additionally, results indicate that the insert operator is efficient since it improved the goal function value significant number of times.

In summary, the developed metaheuristic is fast, efficient and scalable for large scenarios. Further research will be conducted on enhancing the proposed method to solve extensions of the specified problem such as no-drone base stations and dynamically changing environments.

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