# New description of stress-induced anisotropy using modified stress Une nouvelle description de l'anisotropie induite par les contraintes utilisant la contraintes modifiée

M. Kikumoto, T. Nakai and H. Kyokawa Nagoya Institute of Technology, Nagoya, Japan

## ABSTRACT

A simple and comprehensive method for describing the influences of the intermediate principal stress  $\sigma_2$  and the stress histories on the deformation and the strength of soils, which assumes associated flow rule and obeys isotropic hardening rule in modified stress space, is proposed. The concept of the modified stress  $t_{ij}$ , which was proposed to describe the influence of  $\sigma_2$ , is extended to the proposed modified stress tensor  $t_{ij}^*$  to consider the fabric change of soils due to the stress histories as well as the variation of  $\sigma_2$ . In this paper, the modified stress  $t_{ij}^*$  is applied to an elastoplastic model named subloading  $t_{ij}$  model. The proposed model is verified by comparing the calculated results with the experimental results on sand.

#### RÉSUMÉ

Une méthode simple est proposée pour la description de l'influence de la contrainte principale intermédiaire et de l'histoire du chargement sur la déformation et la résistance des sols. Cette méthode considère le flux associé et obéit à la loi de durcissement isotropique dans l'espace des contraintes modifiées. Le concept de contrainte modifiée  $t_{ij}$ , proposé pour décrire l'influence de la contrainte principale intermédiaire, est étendu au tenseur de contraintes modifiées  $t_{ij}^*$  pour tenir compte du changement de structure des sols relatif à la fois l'histoire du chargement et à la variation de la contrainte principale intermédiaire. Dans ce papier, la contrainte modifiée  $t_{ij}^*$  est appliquée à un modèle élasto-plastique appelé modèle à sous-chargement  $t_{ij}$ . Le modèle proposé est vérifié en comparant les résultats de calcul à des résultats expérimentaux sur sable.

Keywords : elastoplastic model, induced anisotropy, isotropic hardening rule, associated flow, modified stress

## 1 INTRODUCTION

It is usually mentioned that the intermediate principal stress  $\sigma_2$ and the stress histories have large influences on the deformation and the strength of soil. It has, however, been considered that the influence of  $\sigma_2$  and the influence of stress path are different features. In the ordinary models, the influence of  $\sigma_2$  is usually considered by assuming a non-circular shaped yield surface in octahedral plane (or by changing the strength depending on the relative magnitude of  $\sigma_2$ ). The influence of the stress histories is considered by applying kinematic / rotational hardening rule in ordinary stress space. The authors have proposed the concept of the modified stress  $t_{ij}$  and developed an isotropic hardening model based on this concept, which can take into consideration of the influence of  $\sigma_2$  automatically. Here,  $t_{ij}$  is a modified stress tensor reflecting the fabric change due to monotonous shear loading – i.e., stress-induced anisotropy.

A new method, in which the induced anisotropy of soil is described by applying modified stress in stead of ordinary stress, is developed in this study. The proposed method obeys simple and general isotropic hardening rule in the modified stress space. In addition, the proposed method is an extension of the concept of  $t_{ij}$ , and hence it can simultaneously evaluate the influence of  $\sigma_2$ . In this paper, the outline of the proposed method is presented and the method is verified by the results of true triaxial tests and directional shear cell tests on sand.

#### 2 MODIFIED STRESS *t<sub>ij</sub>* AND ITS RELATION WITH STRESS-INDUCED ANISOTROPY OF SOILS

#### 2.1 The outline of the concept of the modified stress $t_{ij}$

In most of isotropic hardening models such as Cam clay model, their yield functions are formulated using parameters of ordinary stress  $\sigma_{ij}$  and the flow rule is assumed in  $\sigma_{ij}$ -space. Such models, however, cannot describe the strength and the deformation of soils in three-dimensional stresses in a uniform manner. Nakai & Mihara (1984) proposed a method, in which the yield function is formulated using the parameters of the modified stress  $t_{ij}$  and the flow rule is assumed in  $t_{ij}$ -space. This method can suitably consider the influence of  $\sigma_2$  by the parameters of stress and strain increment based on spacially mobilized plane (Matsuoka & Nakai 1974) listed in Table 1. The key points are: (i) the transform tensor  $a_{ij}$  for obtaining  $t_{ij}$  is coaxial with  $\sigma_{ij}$ . (ii) the ratio of principal values of  $a_{ij}$  is proportional to that of principal stresses to the minus one half.

Table 1. Comparison of stress and strain increment parameters in ordinary,  $t_{ij}$  and  $t_{ij}^*$  concept.

ordinary concept	t <sub>ij</sub> concept	$t_{ij}^*$ concept
$\delta_{ij}$	$a_{ij} = \sqrt{I_3/I_2} r_{ij}^{-1}$	$a_{ij}^*$
	$(r_{ik}r_{kj}=\sigma_{ij})$	a#
$\sigma_{ij}$	$t_{ij} = a_{ik}\sigma_{kj}$	$t_{ij}^{*} = (a_{ik}^{*}\sigma_{kj} + \sigma_{ik}a_{kj}^{*})/2$
$p = \sigma_{ij} \delta_{ij} / 3$	$t_N = t_{ij} a_{ij}$	$t_N^* = t_{ij}^* a_{ij}^\#$
$s_{ij} = \sigma_{ij} - p \delta_{ij}$	$t_{ij}' = t_{ij} - t_N a_{ij}$	$t'_{ij}^* = t_{ij}^* - t_N^* a_{ij}^{\#}$
$q = \sqrt{(3/2)s_{ij}s_{ij}}$	$t_S = \sqrt{t'_{ij}t'_{ij}}$	$t_S^* = \sqrt{t_{ij}^{\prime *} t_{ij}^{\prime *}}$
$\eta_{ij} = s_{ij} / p$	$x_{ij} = t'_{ij} / t_N$	$x_{ij}^* = t'_{ij}^* / t_N^*$
$\eta = q/p = \sqrt{\eta_{ij}\eta_{ij}}$	$X = t_S / t_N = \sqrt{x_{ij} x_{ij}}$	$X^{*} = t_{S}^{*} / t_{N}^{*} = \sqrt{x_{ij}^{*} x_{ij}^{*}}$
$d\varepsilon_{v} = d\varepsilon_{ij}\delta_{ij}$	$d\varepsilon_N = d\varepsilon_{ij}a_{ij}$	$d\varepsilon_N^* = d\varepsilon_{ij}a_{ij}^\#$
$de_{ij} = d\varepsilon_{ij} - d\varepsilon_{v} \delta_{ij} / 3$	$d\varepsilon'_{ij} = d\varepsilon_{ij} - d\varepsilon_N a_{ij}$	$d\varepsilon_{ij}^{\prime^*} = d\varepsilon_{ij} - d\varepsilon_N^* a_{ij}^{\#}$
$d\varepsilon_d = \sqrt{(2/3)de_{ij}de_{ij}}$	$d\varepsilon_{S} = \sqrt{d\varepsilon_{ij}' d\varepsilon_{ij}'}$	$d\varepsilon_{S}^{*} = \sqrt{d\varepsilon_{ij}^{\prime *}d\varepsilon_{ij}^{\prime *}}$
$d\varepsilon_{ij}^{p} = \Lambda \frac{\partial f}{\partial \sigma_{ij}}$	$d\varepsilon_{ij}^{p} = \Lambda \frac{\partial f}{\partial t_{ij}}$	$d\varepsilon_{ij}^{p} = \Lambda \frac{\partial f}{\partial t_{ij}^{*}}$





considering the concentration

of contact normals

(a) Increase of the interparticle contact normals in  $\sigma_i$  direction due to the increase of stress ratio



(c) Isotropic continuum equivalently transformed by modified stress Figure 1. Modeling of induced anisotropy using modified stress.



2.2 Description of the stress-induced anisotropy of soil by the modified stress

It has been indicated based on microscopic observations by Oda (1972) that the distribution of the interparticle contact normals, which is represented by a second order fabric tensor  $F_{ii}$ , gradually tends to concentrate towards the direction of the major principal stress  $\sigma_1$  when anisotropic stress acts on soil skeleton as shown in Fig. 1 (a). In continuum mechanics, this is equivalent to a relative increase of stiffness in  $\sigma_1$ -direction as indicated in Fig. 1 (b). By applying a modified stress whose stress ratio is smaller than  $\sigma_1/\sigma_2$ , such anisotropic behavior of granular material can be modeled as an isotropic material as shown in Fig. 1 (c). Thus, modified stress  $t_{ij}^*$  which is transformed by the fabric tensor  $F_{ij}$  reflecting the past stress histories is proposed to describe the induced anisotropy within a simple isotropic hardening model. It has been noticed by Satake (1982) that  $t_{ij}^*$  defined in Eq. (1), supposing that  $a_{ij}^{*-1}$  represents the fabric tensor  $F_{ij}$ , suitably consider the induced anisotropy.

$$t_{ij}^{*} = \frac{a_{ik}^{*}\sigma_{kj} + \sigma_{ik}a_{kj}^{*}}{2}$$
(1)

It has been indicated that the transform tensor  $a_{ij}^*$  is coaxial with  $\sigma_{ij}$  (Oda 1972) and the ratio of its principal values is proportional to that of principal stresses to the minus one half under monotonic loading paths (Satake 1982).

#### 2.3 Relation of the modified stress $t_{ij}$ with induced anisotropy

It is obvious from sections 2.1 and 2.2 that  $a_{ij}$  is equivalent to  $a_{ij}^*$  under monotonous loading paths. Therefore,  $a_{ij}(t_{ij})$  can be regarded as a mechanical quantity considering the induced anisotropy under monotonic loading. In the present study, the modified stress  $t_{ij}$  is hence extended to a new stress tensor  $t_{ij}^*$  so that it can consider the fabric change due to the variation of  $\sigma_2$  and the stress histories under general three-dimensional stress paths including rotation of principal stress axes.

## 3 MODIFIED STRESS $t_{ij}^*$ CONSIDERING THE INFLUENCES OF $\sigma_2$ AND STRESS HISTORIES

In extending the modified stress  $t_{ij}$  to a new mechanical quantity  $t_{ij}^*$ , the evolution rule of the transformation tensor  $a_{ij}^*$  is determined satisfying the following experimental and numerical evidences (e.g. Satake 1982).

- a)  $a_{ij}^*$  is equal to  $a_{ij}$  under monotonic loading paths without rotations of principal stress axes.
- b) a<sub>ij</sub><sup>\*</sup> coincides with a<sub>ij</sub> near / at failure even under complex stress paths because the strength of soils is independent of the stress histories.
- c)  $a_{ij}^{*}$  is fixed during unloading as the soil fabric hardly changes during elastic deformation.
- d)  $a_{ij}^*$  gradually approaches to  $a_{ij}$  with the development of plastic deformation although  $a_{ij}^*$  differs from  $a_{ij}$  under complex loading paths.

An example of evolution rules of  $a_{ij}^*$  which satisfies all of the above-mentioned requirements is given by Eq. (2).

$$da_{ij}^{*} = kda_{ij} + l(a_{ij} - a_{ij}^{*})$$
$$= \left(\cos\frac{\theta}{2}\right)da_{ij} + \mu\sqrt{d\varepsilon_{kl}^{p}d\varepsilon_{kl}^{p}}\left(a_{ij} - a_{ij}^{*}\right)$$
(2)

*k* represents loading directions, namely, monotonic loading (k = 1), non-monotonic loading (0 < k < 1) and unloading (k = 0).  $\theta$  is given by the stress ratio tensor  $x_{ij}^*$  and its increment  $dx_{ij}^*$  as:

$$\theta = \cos^{-1} \left( \frac{x_{ij}^* dx_{ij}^*}{\sqrt{x_{kl}^* x_{kl}^* dx_{mn}^* dx_{mn}^*}} \right)$$
(3)

*l* is proportional to the magnitude of plastic strain increment  $d\varepsilon_{ij}^{p}$ , while  $\mu$  is a newly added parameter representing the rate of the decay of the influence of stress histories.

In the concept of  $t_{ij}$ ,  $a_{ij}$  is applied not only for converting  $\sigma_{ij}$ into  $t_{ij}$  but also for dividing  $t_{ij}$  and  $d\mathcal{E}_{ij}^{p}$  into their parameters. In the present method,  $a_{ij}^{*}$  is, however, non-coaxial with  $t_{ij}^{*}$ . Thus, in order to formulate an isotropic hardening model, a unit tensor  $a_{ij}^{\#}$  coaxial with  $t_{ij}^{*}$  is newly employed for dividing  $t_{ij}^{*}$  and  $d\mathcal{E}_{ij}^{p}$ into their parameters. In consideration of the relation between  $t_{ij}$ and  $a_{ij}$ ,  $a_{ij}^{\#}$  can be expressed by  $t_{ij}^{*}$  in the same form as  $a_{ij}$ .

#### 4 APPLICATION OF THE MODIFIED STRESS t<sub>ij</sub><sup>\*</sup> TO AN ISOTROPIC HARDENING ELASTOPLSTIC MODEL

The proposed concept of the modified stress  $t_{ij}^{*}$  can be applied to any isotropic hardening model. In this paper, an elastoplastic model named subloading  $t_{ij}$  model is extended by  $t_{ij}^{*}$  to take into account of the induced anisotropy. Since the details of the original model are explained in other paper (Nakai & Hinokio 2004), application of  $t_{ij}^{*}$  to the subloading  $t_{ij}$  model is mainly presented here. The method of applying  $t_{ij}^{*}$  is quite simple, viz., defining the yield function *f* by the parameters of  $t_{ij}^{*}$  as eq. (4) and assuming associated flow rule in  $t_{ij}^{*}$ -space as eq. (5).

$$f = \ln t_N^* + \frac{1}{\beta} \left( \frac{X^*}{M^*} \right)^{\beta} - \ln t_{N1}^* = 0$$
(4)

$$d\mathcal{E}_{ij}^{p} = \Lambda \frac{\partial f(t_{ij}^{*}, a_{ij}^{\#})}{\partial t_{ij}^{*}}$$
(5)

Here,  $\beta$  is a material parameter determining the shape of the yield surface. The valuable  $t_{N1}^*$  is a hardening parameter determining the size of the yield surface, which is linked with the plastic volumetric strain as Cam clay model. The parameter  $M^*$  is a constant expressed using stress ratio  $X_{CS}^*$  and plastic strain increment ratio  $Y_{CS}^*$  at critical state. The proportional constant  $\Lambda$  is obtained by Prager's consistency equation (df = 0).





Figure 3. Comparison between the proposed model and other models.



Figure 4. Comparison of the method for describing induced anisotropy.

The characteristics of the proposed model and other models are summarized in Figs. 3 and 4. The influence of  $\sigma_2$  and that of the stress histories are described inclusively by a simple isotropic hardening model. In the proposed method, yield function with non-circular shaped section in octahedral plane and kinematic / rotational hardening rule are no more necessary for considering the induced anisotropy, that is, hardening parameters and constitutive parameters for such hardening rule are not required. In addition, the non-coaxiality between  $\sigma_{ij}$  and  $d\varepsilon_{ij}^{p}$  can also be described by the proposed model even though it obeys isotropic hardening rule in the  $t_{ij}^{*}$  space.

#### 5 EXPERIMENTAL VALIDATION OF THE PROPOSED METHOD

In this section, the concept of the modified stress  $t_{ij}^*$  is verified by comparing the calculated results with experiment results on medium dense Leighton Buzzard sand ( $e_{\text{max}} = 0.815$ ,  $e_{\text{min}} =$ 0.516,  $G_s = 2.66$ ,  $D_r = 72$ %) conducted by Alawaji, et al. (1987). It is experimentally confirmed that the samples used in the tests have negligible initial stress anisotropy.

The material parameters in the proposed model are listed in Table 2.  $\mu$  controls the rate of the decay of the influence of stress histories due to the development of the plastic strain. Other parameters are the same as those of the original model. These parameters are determined from the results of isotropic compression test and true triaxial test under constant Lode angle.

First, the results of true triaxial tests under constant mean principal stress are shown in Figs. 5 and 6. In these tests, each sample was firstly sheared in the radial direction on the octahedral plane from A (( $\sigma_x$ ,  $\sigma_y$ ,  $\sigma_z$ ) = (34.5, 34.5, 34.5) kPa) to C ((52.2, 15.9, 32.4) kPa), which is monotonic loading, and then unloaded to I ((41.4, 27.6, 34.5) kPa). Finally, the samples were sheared to the direction of 1, 4 and 7, respectively. Fig. 5 shows the relationships between stress ratio (q/p), principal strains ( $\varepsilon_x$ ,  $\varepsilon_y$  and  $\varepsilon_z$ ) and volumetric strain ( $\varepsilon_y$ ), in which dots denote observed results, solid lines denote calculated ones by the proposed model, and broken lines denote calculated ones by subloading  $t_{ij}$  model. Fig. 6 shows the vectors of shear strain increment along various stress paths on octahedral plane. The length of each arrow which represents the directions of the shear

Table 2. Constitutive parameters and its values.			
λ	0 0320		
κ	0 0020		
$e_{NC}$ at $p = 98$ kPa & $q = 0$ kPa	1 05	Same parameters as Cam clay model	
$R_{cs} = (\sigma_1 / \sigma_3)_{cs(comp.)}$	26		
Ve	02		
β	16	Shape of yield surface (same as original Cam clay if $\beta = 1$ )	
$a = \frac{a_{AF}}{a_{AF}}$	15	Influence of density and	
$a_{IC}$	85	confining pressure	
μ	40	Influence of stress history	

strain increment is given by the shear strain increment divided by the increment of shear stress ratio on the octahedral plane.

It is seen from these figures that the calculated results by the proposed model and the former model are identical from A to C, because newly proposed  $t_{ij}^*$  coincides with  $t_{ij}$  under monotonic loading paths. It can be said that the calculated results agree well with the test results in the probes A to C, that is, the stiffness decreases with the increasing of stress ratio q/p.

In the unloading region (probes C to I), both models properly describe the elastic behavior observed in the tests. In the proposed model,  $a_{ij}^*$  remains constant during unloading and the prediction by the model becomes different from that by subloading  $t_{ij}$  model after the stress state I.

During reloading from I to 1, it is seen that calculated results by subloading  $t_{ii}$  model underestimate the increase of shear stiffness as the soil is assumed to be elastoplastic in the reloading path due to the subloading surface. Meanwhile, the proposed model is able to describe precisely the increase of stiffness. Therefore, it is possible to say that  $t_{ij}^*$  suitably considers the influence of stress histories. The same results can be seen in the loading path ACI4. By comparing the shear strain increment in the stress path I to 4, subloading  $t_{ij}$  model does not completely coincide with the experimental results, which is caused by the fact that the direction of plastic strain increment is only determined by the present stress condition regardless of the stress histories in an ordinary isotropic hardening model. On the other hand, the proposed model agrees well with the experimental results both in direction and length of strain increment. Therefore, it can be concluded that a model using  $t_{ij}$ can properly consider the influence of the stress histories under complex stress paths, in spite of isotropic hardening model. In stress path ACI7, the proposed model can describe both the reduction of the stiffness and the dilatancy before reaching an isotropic stress state along path C-I-7, while subloading  $t_{ii}$ model exhibits only elastic deformation in this stress path.

The results of directional shear cell tests under plane strain condition ( $\varepsilon_z = 0$ ) are shown in Figs. 7 and 8. The major and minor principal stresses in shearing plane (*x*:*y* plane) are constant in all the tests. The principal stress axes rotate 90 degrees under plane strain condition ( $\varepsilon_z = 0$ ) from a stress state (( $\sigma_x - \sigma_y$ ) / 2 = 17.9 kPa,  $\tau = 0$  kPa) and then back to the original stress condition. Fig. 7 indicates the variation of each strain component with the angle between *x*-axis and major principal stress axis. In Fig. 8, the stress paths in the ( $\sigma_x - \sigma_y$ )/2:  $\tau_{xy}$  plane are shown together with the directions of strain increments represented by the conjugate  $d\gamma_x/2$ :( $d\varepsilon_x - d\varepsilon_y$ )/2 relation.

It is seen from the experimental results that there seems no coaxiality between stresses and strain increments during the rotation of principal stress axes; the elastic region exists just after reversed rotation in the same way as reversed shear loading. The original model exhibits little strain during the rotation of the principal stress axes because stress invariants of ordinary isotropic hardening model remain constant under pure rotation of the principal stress axes. In contrast, there is rather good agreement between the observed results and the results calculated by the proposed model including the development of plastic strain due to the rotation of principal stress axes and noncoaxiality between stress and strain increment.





Figure 9. Directional shear cell test: Stress paths and strain increment vectors (rotation of principal stress axes).

# 6 CONCLUSIONS

 $, \varepsilon, \gamma_{xy}, \varepsilon_{v} [\%]$ 

ŝ

1.0

Stress ratio q / p

0.

The modified stress  $t_{ij}$ , which was proposed to consider the influence of intermediate principal stress  $\sigma_2$ , is extended to a new modified stress  $t_{ij}^*$ , so that it can describe the influences of  $\sigma_2$  and stress histories all together, namely, the stress-induced anisotropy. The modified stress  $t_{ij}^{*}$  is, then, introduced to an isotropic hardening model named subloading  $t_{ij}$  model to extended to describe the induced anisotropy of soil as well.

The proposed subloading  $t_{ij}^*$  model is verified with the results of true triaxial tests and directional shear cell tests on sand. It is shown from two series of simulations that the proposed model, which obeys isotropic hardening rule and assumes associated flow rule in  $t_{ij}^*$ -space, properly reproduces the test results under various complex three-dimensional stress path including rotation of principal stress axes.

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